

Forensic Accounting and the Bank Secrecy Act Conference

Wyndham Deerfield Beach Resort
Deerfield Beach, Florida
February 9-10, 2017



Thursday, February 9, 2017

7:30 a.m. – 8:30 a.m. Breakfast

8:30 a.m. – 8:45 a.m. Welcome

Dr. Michael Crain

Director
Center for Forensic Accounting
College of Business
Florida Atlantic University

Jerry Marshall

Deputy Assistant Inspector General for Investigations
United States Department of the Treasury – Office of Inspector
General (TOIG)

8:45 a.m. – 9:45 a.m. Office of the Comptroller of Currency
Topic: Functions of the OCC as related to BSA/AML

Jim Vivenzio, Senior Counsel
BSA/AML

9:45 a.m. – 10:00 a.m. Break

- 10:00 a.m. – 11:00 a.m. Banks/Law Enforcement:
What you can and cannot do**
Topic: BSA/AML as related to Fraud Investigation
- Paula Liss, Senior Special Agent**
Financial Crimes Enforcement Network (FinCEN)
- 11:00 a.m. – 12:00 p.m. Treasury Check Identification Methods**
Topic: Treasury Check Identification and Fraud Prevention
- Wayne Everett, Document Analyst**
United States Treasury
Bureau of Fiscal Service
- 12:00 a.m. – 1:00 p.m. Lunch on your own**
- 1:00 p.m. – 2:00 p.m. Suspension and Debarment/Qui Tams/False Claims**
Topic: Office of Inspector General functions explained as related to whistle blower protections, False Claims Act and Qui Tams filings.
- Richard Delmar**
Treasury Office of Inspector General
Office of Counsel
- 2:00 p.m. – 2:15 p.m. Break**
- 2:15 p.m. – 3:15 p.m. Office of Foreign Asset Control**
Topic: Functions of OFAC as related to AML
- Timothy Smith, Senior Enforcement Officer**
Office of Foreign Asset Control
- 3:15 p.m. – 3:30 p.m. Break**
- 3:30 p.m. -5:00 p.m. Sovereign Citizen Movements and Financial Fraud**
Topic: Financial Fraud related to the Sovereign Citizen Movement
- Dan Harding, Special Agent**
Treasury Office of Inspector General
Office of Investigation
- 5:00 p.m. Reception**

Friday, February 10, 2017

7:30 a.m. – 8:30 a.m. Breakfast

8:30 a.m. – 9:30 a.m. Case Study: Rothstein Ponzi Scheme
Topic: Forensic Accounting and the RRA Ponzi Scheme

Joel Glick, CPA
Berkowitz Pollack Brant

Isaac Marcushamer, Esq.
Berger Singerman

9:30 a.m. – 10:30 a.m. Case Study: Corruption Involving a Consumer Perishables Company
Topic: Forensic Accounting and fraud involving kick-backs, the black market and embezzlement.

Ivan Garces, CPA and Jason Chorlins, CPA
Kaufman Rossin

10:30 a.m. – 10:45 a.m. Break

10:45 a.m. – 12:00 p.m. Case Study: Gulf Coast Recovery Fund
Topic: Treasury OIG Office of Audit Programs

Kieu Rubb
Audit Director
United States Department of the Treasury
Office of Inspector General
Office of Audit

Todd McGee, CFE
Auditor
United States Department of the Treasury
Office of Inspector General
Office of Audit

12:00 Closing